

MAR 12 2002

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number

09/937,201

Filing Date

September 24, 2001

First Named Inventor

Greg GuangHua Qiao

Group Art Unit

Examiner Name

Total Number of Pages In This Submission **11**

Attorney Docket Number

322-00063

**ENCLOSURES** (check all that apply)☒ Fee Transmittal Form☒ Fee Attached☐ Amendment / Reply☐ After final☐ Affidavits/declarations(s)☒ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☒ Response to Missing Parts/  
Incomplete Application☐ Response to Missing  
Parts Under 37 1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert a  
Provisional Application☐ Power of Attorney, Revocation,  
Change of Correspondence  
Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication  
To Group☐ Appeal Communication to Board  
of Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please  
identify below):**Return Receipt Postcard  
Declaration**

Remarks

COPY OF PAPERS  
ORIGINALLY FILED**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual Name**Thomas M. Wozny, 28,922  
Andrus, Sceales, Starke & Sawall, LLP  
100 East Wisconsin Avenue, Suite 1100  
Milwaukee, WI 53202-4178**

Signature

*Thomas M. Wozny*

Date

**February 28, 2002****RECEIVED**

MAR 25 2002

**TC 1700****CERTIFICATE OF MAILING**I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: **February 28, 2002**

Typed or printed name

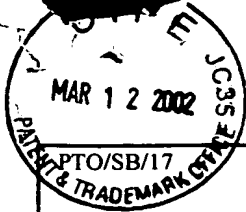
**Dorothy A. Hauser**

Signature

*Dorothy A. Hauser*

Date

**February 28, 2002**



## FEE TRANSMITTAL

## COMPLETE IF KNOWN

Application Number	09/937,201
Filing Date	September 24, 2001
First Named Inventor	Greg GuangHua Qiao
Group Art Unit	
Examiner Name	
Attorney Docket Number	322-00063

Total Amount of Payment (\$)**265.00**

## METHOD OF PAYMENT (check one)

## FEE CALCULATION (continued)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

**01.2000**

Deposit Account Name

**ANDRUS, SCEALES, STARKE & SAWALL, LLP**

- ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17
- ☐ Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b)

## 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	\$65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for examination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	400	216	200	Extension for response within second month	
117	920	217	460	Extension for response within third month	
118	1,440	218	720	Extension for response within fourth month	
128	1,960	228	980	Extension for response within fifth month	
119	300	218	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,240	241	620	Petition to revive unintentionally abandoned application	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclos. Stmt.	
591	40	591	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.29(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **\$265.00**

## FEE CALCULATION (fees effective 10/01/96)

## 1. FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

## 2. CLAIMS

Total claims	Fee	From below	Fee Paid
Independent Claims			
Multiple Dependent Claims			

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim	
109	84	209	42	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

## SUBMITTED BY

## Complete (if applicable)

Typed or Printed Name

**Thomas M. Wozny**

Registration Number

**28,922**

Signature

Date

**2-28-2002**

Deposit Account User ID

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MAR 25 2002  
TC 1700



JCO7 Rec'd PCT/PTO 11 MAR 2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5  
04/18/02  
AS

In re the Application of:

Greg GuangHua Qiao et al

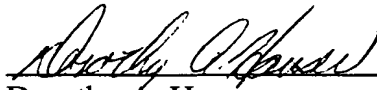
Application No. 09/937,201

Filed: September 24, 2001

IMPROVED CARBON-CONTAINING  
MATERIALS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is  
being deposited with the United States Postal  
Service with sufficient postage as first class  
mail in an envelope addressed to:  
Commissioner of Patents, Washington, D.C.  
20231, on this 28th day of February, 2002.

 February 28, 2002  
Dorothy A. Hauser Date

TRANSMISSION OF EXECUTED DECLARATION

Attention: Box Missing Parts - Fee  
Commissioner for Patents,  
Washington, D.C. 20231

Sir:

Responsive to the "Notice to File Missing Parts", mailed November 14, 2001, copy  
attached, enclosed for filing in the above application is the executed Declaration.

A Petition for a two month extension of time is herein requested.

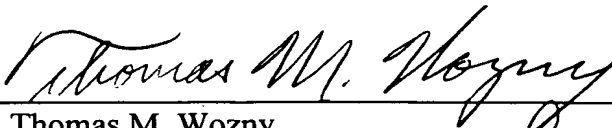
A check in the amount of \$265.00 is attached comprising the surcharge in the  
amount of \$65.00 and a two month extension fee of \$200.00.

The Commissioner is hereby authorized to charge any additional fees in accordance  
with 37 CFR 1.16(e) to Deposit Account No. 01.2000. A duplicate copy of this sheet is  
enclosed.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

By

  
Thomas M. Wozny  
Reg. No. 28,922

Andrus, Sceales, Starke & Sawall, LLP  
100 East Wisconsin Avenue, Suite 1100  
Milwaukee, WI 53202  
(414) 271-7590  
Attorney Docket No.: 322-00063

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03/18/2002 MAIL111 00000061 09937201

01 FD:254  
02 FD:216

65.00 OP  
200.00 OP

PCT



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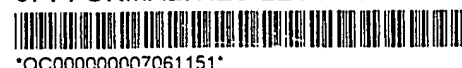
U.S. APPLICATION NUMBER NO	FIRST NAMED APPLICANT	ATTY. DOCKET NO
09/937.201	Greg Guanghua Qiao	322-00063

INTERNATIONAL APPLICATION NO
PCT/AU00/00236

I.A. FILING DATE	PRIORITY DATE
03/23/2000	03/23/1999

Thomas M. Wozny  
 Andrus Scales Starke & Sawall  
 100 East Wisconsin Avenue  
 Suite 110  
 Milwaukee, WI 53202

CONFIRMATION NO. 6384  
 371 FORMALITIES LETTER



\*OC000000007061151\*

Date Mailed: 11/14/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

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The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

## SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FREDERICK SMITH

Telephone: (703) 305-3654

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY DOCKET NO.
09/937.201	PCT/AU00/00236	322-00063